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General Docket Court of Appeals, 2nd Circuit

Court of Appeals Docket #: 10-722 Nature of Suit: 3410 STATUTES-Antitrust Mayor and City Council of Balt v. Citigroup, Inc. Appeal From: SDNY (NEW YORK CITY)

Fee Status: Paid

Case Type Information:

1) Civil 2) Private

3) -

Originating Court Information:

District: 0208-1 : <u>08-CV-7746</u>

Trial Judge: Barbara S. Jones, U.S. District Judge Trial Judge: Debra C. Freeman, U.S. Magistrate Judge

Date Filed: 09/04/2008

Date Order/Judgment: Date Order/Judgment EOD: Date NOA Filed: Date Rec'd COA:

01/30/2010 01/30/2010 03/01/2010 03/01/2010

Prior Cases:

None

Current Cases:

Lead Member Start End

Consolidated 10-722 10-867 07/27/2010

Not available Panel Assignment:

Mayor and City Council of Baltimore, Maryland, On behalf of

themselves and all others similarly situated Plaintiff - Appellant

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Russell Mayfield, Individually and on behalf of themselves and all

others similary situated,

Plaintiff - Appellant

Steig David Olson, Esq., -Direct: 212-849-7152 [LD NTC Retained] (see above)

Margaret K. Aleks, -

[NTC -]

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Docketed: 03/01/2010

Termed: 03/05/2013

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1 California Street San Francisco, CA 94111 Lisa Marie Black, Attorney [NTC -] Steyer Lowenthal Boodrookas Alvarez & Smith LLP 1 California Street San Francisco, CA 94111 Henry A. Cirillo, -Direct: 707-522-1100 [NTC -] Smith Dollar, PC 2nd Floor 404 Mendocino Avenue Santa Rosa, CA 95401 Allan Steyer, -[NTC -] Steyer Lowenthal Boodrookas Alvarez & Smith LLP 1 California Street San Francisco, CA 94111 Arun S. Subramanian, Attorney Direct: 212-336-8330 [NTC -] (see above) Steig David Olson, Esq., -Paul Walton, Individually and on behalf of themselves and all Direct: 212-849-7152 others similarly situated Plaintiff - Appellant [COR LD NTC Retained] (see above) Margaret K. Aleks, -[NTC -] (see above) Lisa Marie Black, Attorney [NTC -] (see above) Henry A. Cirillo, -Direct: 707-522-1100 [NTC -] (see above) Allan Steyer, -[NTC -] (see above) Arun S. Subramanian, Attorney Direct: 212-336-8330 [NTC -] (see above) John Abbott, Individually and on behalf of themselves and all Steig David Olson, Esq., others similarly situated, Direct: 212-849-7152 Plaintiff - Appellant [COR LD NTC Retained] (see above) Margaret K. Aleks, -[NTC -] (see above) Lisa Marie Black, Attorney [NTC -] (see above) Henry A. Cirillo, -Direct: 707-522-1100 [NTC -] (see above)

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Allan Steyer, -[NTC -] (see above) Arun S. Subramanian, Attorney Direct: 212-336-8330 [NTC -] (see above) Citigroup, Inc. Charles E. Davidow, Attorney Defendant - Appellee Direct: 202-223-7380 [COR LD NTC Retained] Paul, Weiss, Rifkind, Wharton & Garrison LLP 2001 K Street, NW Washington, DC 20006 Brad S. Karp, Esq., -Direct: 212-373-3000 [COR LD NTC Retained] Paul, Weiss, Rifkind, Wharton & Garrison LLP 1285 Avenue of the Americas New York, NY 10019 Andrew Corydon Finch, Counsel Direct: 212-373-3000 [NTC Retained] Paul, Weiss, Rifkind, Wharton & Garrison LLP 1285 Avenue of the Americas New York, NY 10019 Kenneth A. Gallo, Attorney Direct: 202-223-7300 [NTC Retained] Paul, Weiss, Rifkind, Wharton & Garrison LLP 2001 K Street, NW Washington, DC 20006 Citigroup Global Markets Inc. Charles E. Davidow, Attorney Defendant - Appellee Direct: 202-223-7380 [COR LD NTC Retained] (see above) Brad S. Karp, Esq., -

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Defendant - Appellee

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UBS Securities, LLC

Defendant - Appellee

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Defendant - Appellee

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Wachovia Securities, LLC

Defendant - Appellee

Arthur S. Greenspan, Attorney Direct: 212-530-1816 [LD NTC Retained] (see above)

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(see above)

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Defendant - Appellee

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[LD NTC Retained] Sullivan & Cromwell LLP 125 Broad Street New York, NY 10004

William Henry Wagener, Esq., Attorney

Direct: 212-558-4000 [NTC Retained] Sullivan & Cromwell LLP 125 Broad Street New York, NY 10004 10-722 Docket Page 6 of 13

Mayor and City Council of Baltimore, Maryland, On behalf of themselves and all others similarly situated, Russell Mayfield, Individually and on behalf of himself and all others similarly situated, Paul Walton, Individually and on behalf of himself and all others similarly situated, John Abbott, Individually and on behalf of himself and all others similarly situated,

Plaintifs - Appellants,

٧.

Citigroup, Inc., Citigroup Global Markets, Inc., UBS AG, UBS Securities LLC, UBS Financial Services, Inc., Merrill Lynch & Company, Inc., Morgan Stanley, Lehman Brothers Holdings Inc., Bank of America Corporation, Wachovia Corporation, Wachovia Securities, LLC, Wachovia Capital Markets, LLC, The Goldman Sachs Group, Inc., JP Morgan Chase & Co., Royal Bank of Canada, Deutsche Bank, AG,

Defendants - Appellees.

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03/01/2010	<u>1</u>	NOTICE OF CIVIL APPEAL, and district court docket on behalf of Appellant Mayor and City Council of Baltimore, Mayrland, FILED. [13892] [10-722]
03/01/2010	2 1 pg, 54.82 KB	DISTRICT COURT JUDGMENT, dated 01/30/2010, RECEIVED.[13910] [10-722]
03/01/2010	3 26 pg, 1.36 MB	ELECTRONIC INDEX, in lieu of record, FILED.[13933] [10-722]
03/15/2010	58 pg, 4.02 MB	FORM C, on behalf of Appellant Mayor and City Council of Baltimore, Mayrland, FILED. Service date 03/24/2010 by CM/ECF.[16044] [10-722]
03/15/2010	6 3 pg, 206.6 KB	FORM D, on behalf of Appellant Mayor and City Council of Baltimore, Mayrland, FILED. Service date 03/24/2010 by CM/ECF.[16048] [10-722]
03/23/2010	1 pg, 57.6 KB	ACKNOWLEDGMENT AND NOTICE OF APPEARANCE FORM, on behalf of Appellee Citigroup Global Markets, Incorporation and Citigroup, Incorporation, FILED. Service date 03/23/2010 by CM/ECF.[15772] [10-722]
03/24/2010	1 pg, 29.29 KB	NEW CASE MANAGER, Erin Murphy, ASSIGNED.[16221] [10-722]
03/24/2010	13 2 pg, 142.96 KB	ACKNOWLEDGMENT AND NOTICE OF APPEARANCE FORM, on behalf of Appellee JP Morgan Chase & Company, FILED. Service date 03/24/2010 by CM/ECF.[16366] [10-722]
03/24/2010	□ 14	ACKNOWLEDGMENT AND NOTICE OF APPEARANCE FORM, on behalf of Appellee Morgan Stanley, FILED. Service date 03/24/2010 by CM/ECF.[16375] [10-722]
03/24/2010	□ 15	ADDENDUM A TO ACKNOWLEDGMENT AND NOTICE OF APPEARANCE, on behalf of Attorney Mr. Gregory A. Markel for Appellee Morgan Stanley, FILED. Service date 03/24/2010 by CM/ECF. [10-722]
03/24/2010	16 1 pg, 67.09 KB	NOTICE OF APPEARANCE FORM, on behalf of Non-Party Filer Jonathan K. Youngwood, as additional counsel, FILED. Service date 03/24/2010 by CM/ECF. [16424] [10-722][Edited 03/25/2010 by EM]
03/25/2010	17 1 pg, 29.34 KB	CAPTION AMENDED, to correct JP Morgan Chase & Company to JP Morgan Chase & Co., TRANSMITTED.[16486] [10-722]
03/25/2010	18 2 pg, 44.02 KB	DEFECTIVE DOCUMENT [14], on behalf of Appellee Morgan Stanley, FILED.[16489] [10-722]
03/25/2010	19 2 pg, 44.19 KB	DEFECTIVE DOCUMENT [15], on behalf of Appellee Morgan Stanley, FILED.[16496] [10-722]
03/25/2010	□ 20	ATTORNEY, Jonathan K. Youngwood for JP Morgan Chase & Co., in case 10-722, [16], ADDED.[16506] [10-722]
03/25/2010	21 1 pg, 51.32 KB	ACKNOWLEDGMENT AND NOTICE OF APPEARANCE FORM, on behalf of Appellee Merrill Lynch & Company, Incorporation, FILED. Service date 03/25/2010 by CM/ECF.[16727] [10-722]
03/25/2010	22 1 pg, 35.24 KB	NOTICE OF APPEARANCE FORM, on behalf of Non-Party Filer Jay Kasner, as additional counsel, FILED. Service date 03/25/2010 by CM/ECF. [16765] [10-722][Edited 03/25/2010 by EM]
03/25/2010	23 1 pg, 36.74 KB	ACKNOWLEDGMENT AND NOTICE OF APPEARANCE FORM, on behalf of Appellee Royal Bank of Canada, FILED. Service date 03/25/2010 by CM/ECF.[16775] [10-722]
03/25/2010	□ 24	ACKNOWLEDGMENT AND NOTICE OF APPEARANCE FORM, on behalf of Appellee Morgan Stanley, FILED. Service date 03/25/2010 by CM/ECF.[16876] [10-722]
03/25/2010	□ 25	ADDENDUM A TO ACKNOWLEDGMENT AND NOTICE OF APPEARANCE, on behalf of Attorney Mr. Gregory A. Markel for Appellee Morgan Stanley, FILED. Service date 03/25/2010 by CM/ECF. [10-722]
03/25/2010	26 2 pg, 44.18 KB	DEFECTIVE DOCUMENT [25], [24], on behalf of Appellee Morgan Stanley, FILED.[16950] [10-722]
03/26/2010	27 2 pg, 690.95 KB	ACKNOWLEDGMENT AND NOTICE OF APPEARANCE FORM, on behalf of Appellee Morgan Stanley, FILED. Service date 03/26/2010 by CM/ECF.[17167] [10-722]
03/26/2010	□ 28	DEFECTIVE DOCUMENT [26], on behalf of Appellee Morgan Stanley, CURED.[17276] [10-722]
03/26/2010	□ 31	ACKNOWLEDGMENT AND NOTICE OF APPEARANCE FORM, on behalf of Appellee UBS Financial Services, Incorporation, FILED. Service date 03/26/2010 by CM/ECF.[17316] [10-722]
03/26/2010	□ 32	ADDENDUM A TO ACKNOWLEDGMENT AND NOTICE OF APPEARANCE, on behalf of Attorney Mr. Donald W. Hawthorne for Appellee UBS Financial Services, Incorporation, FILED. Service date 03/26/2010 by CM/ECF. [10-722]
03/26/2010	□ 33	ATTORNEY, Donald W. Hawthorne for UBS Securities LLC UBS AG UBS Financial Services, Incorporation, in case 10-722, [31], ADDED.[17326] [10-722]

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03/26/2010	2 pg, 44.48 KB	DEFECTIVE DOCUMENT [32], [31], on behalf of Appellee UBS AG, UBS Financial Services, Incorporation and UBS Securities LLC, FILED.[17332] [10-722]
03/26/2010	35 2 pg, 81.71 KB	ACKNOWLEDGMENT AND NOTICE OF APPEARANCE FORM, on behalf of Appellee UBS AG, UBS Financial Services, Incorporation and UBS Securities LLC, FILED. Service date 03/26/2010 by CM/ECF. [17363] [10-722]
03/26/2010	36 2 pg, 70.5 KB	ACKNOWLEDGMENT AND NOTICE OF APPEARANCE FORM, on behalf of Appellee Wachovia Capital Markets, LLC and Wachovia Securities, LLC, FILED. Service date 03/26/2010 by CM/ECF.[17452] [10-722]
03/26/2010	37 1 pg, 42.08 KB	NOTICE OF APPEARANCE FORM, on behalf of Non-Party Filer <edit filer="">, , FILED. Service date by. [17518] [10-722]</edit>
03/26/2010	38 1 pg, 346.93 KB	SCHEDULING NOTIFICATION, on behalf of Appellant Mayor and City Council of Baltimore, Maryland, informing Court of proposed due date 07/13/2010, RECEIVED. Service date 03/26/2010 by CM/ECF. [17532] [10-722]
03/29/2010	□ 39	DEFECTIVE DOCUMENT [34], on behalf of Appellee UBS AG, UBS Financial Services, Incorporation and UBS Securities LLC, CURED.[17623] [10-722]
03/29/2010	□ 41	ATTORNEY, Arthur S. Greenspan for Wachovia Securities, LLC Wachovia Capital Markets, LLC Wachovia Corporation, in case 10-722 , [36], ADDED.[17659] [10-722]
03/29/2010	□ 42	ATTORNEY, Stephen L. Saxl, in place of attorney Anastasia Angelova, SUBSTITUTED.[17668] [10-722]
03/29/2010	45 1 pg, 49.67 KB	LETTER, dated 03/26/2010, on behalf of Appellee The Goldman Sachs Group, Incorporation, RECEIVED. Service date 03/29/2010 by CM/ECF.[17779] [10-722]
03/29/2010	46 1 pg, 64.98 KB	NOTICE OF APPEARANCE FORM, on behalf of Non-Party Filer Arun S. Subramanian, as additional counsel, FILED. Service date 03/29/2010 by CM/ECF. [17807] [10-722][Edited 03/29/2010 by EM]
03/29/2010	47 1 pg, 498.46 KB	ACKNOWLEDGMENT AND NOTICE OF APPEARANCE FORM, on behalf of Appellant Mayor and City Council of Baltimore, Maryland, FILED. Service date 03/29/2010 by CM/ECF.[17842] [10-722]
03/29/2010	□ 49	ATTORNEY, Arun S. Subramanian for Mayor and City Council of Baltimore, Maryland, in case 10-722, [46], ADDED.[17865] [10-722]
03/29/2010	□ 52	NOTICE OF APPEARANCE FORM, on behalf of Non-Party Filer Jonathan Rosenberg, as additional counsel, FILED. Service date 03/29/2010 by US mail. [17967] [10-722][Edited 03/30/2010 by EM]
03/29/2010	□ 53	NOTICE OF APPEARANCE FORM, on behalf of Non-Party Filer David Braff, FILED. Service date 03/29/2010 by CM/ECF. [18011] [10-722][Edited 03/30/2010 by EM]
03/29/2010	54 1 pg, 63.55 KB	SO-ORDERED SCHEDULING NOTIFICATION, setting Appellant Mayor and City Council of Baltimore, Maryland: Brief due on 07/13/2010. Joint Appendix due on 07/13/2010, FILED.[18094] [10-722]
03/29/2010	56 1 pg, 135.18 KB	CAMP CONFERENCE ORDER: Type of Conference: Telephonic, Scheduled Date of Conference: 04/22/2010, Start Time: 3:30 PM, endorsed by Stanley A. Bass, FILED.[18103] [10-722]
03/29/2010	□ 57	ATTORNEY, Jonathan Rosenberg for Bank of America Corporation, in case 10-722 , [52], ADDED.[18110] [10-722]
03/29/2010	58 2 pg, 44.94 KB	DEFECTIVE DOCUMENT [52], on behalf of Appellee Bank of America Corporation, FILED.[18112] [10-722]
03/29/2010	□ 59	ATTORNEY, David Harold Braff for The Goldman Sachs Group, Incorporation, in case 10-722 , [53], ADDED.[18113] [10-722]
03/29/2010	60 2 pg, 45.01 KB	DEFECTIVE DOCUMENT [53], on behalf of Appellee The Goldman Sachs Group, Incorporation, FILED. [18114] [10-722]
03/30/2010	61 1 pg, 70.52 KB	ACKNOWLEDGMENT AND NOTICE OF APPEARANCE FORM, on behalf of Appellee The Goldman Sachs Group, Incorporation, FILED. Service date 03/30/2010 by CM/ECF.[18255] [10-722]
03/30/2010	62 1 pg, 45.69 KB	NOTICE OF APPEARANCE FORM, on behalf of Non-Party Filer Matthew Peller, as additional counsel, FILED. Service date 03/30/2010 by CM/ECF. [18304] [10-722][Edited 03/30/2010 by EM]
03/30/2010	□ 63	DEFECTIVE DOCUMENT [60], on behalf of Appellee The Goldman Sachs Group, Incorporation, CURED. [18337] [10-722]
03/30/2010	□ 64	ATTORNEY, Matthew Peller for The Goldman Sachs Group, Incorporation, in case 10-722 , [62], ADDED. [18340] [10-722]
03/30/2010	66 1 pg, 132.34 KB	CAMP CONFERENCE ORDER: Type of Conference: Amended In-Person, Scheduled Date of Conference: 04/20/2010, Start Time: 3:00 PM, endorsed by Vidya Kurella, FILED.[18456] [10-722]
04/05/2010	67 1 pg, 35.98 KB	ACKNOWLEDGMENT AND NOTICE OF APPEARANCE FORM, on behalf of Appellee Deutsche Bank, AG, FILED. Service date 04/05/2010 by CM/ECF.[20312] [10-722]
04/07/2010		

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□ 68	NOTICE, to Appellee Bank of America Corporation, Citigroup Global Markets, Inc., Citigroup, Inc., The Goldman Sachs Group, Inc., UBS AG, UBS Financial Services, Inc. and UBS Securities, LLC, for failure to file an appearance, SENT.[21148] [10-722]
04/07/2010 <u>69</u> 1 pg, 47.26 KB	NOTICE OF APPEARANCE FORM, on behalf of, as additional counsel, FILED. Service date 04/07/2010 by CM/ECF. [21175] [10-722]
04/07/2010	ACKNOWLEDGMENT AND NOTICE OF APPEARANCE FORM, on behalf of Appellee Bank of America Corporation, FILED. Service date 04/07/2010 by CM/ECF.[21415] [10-722]
04/07/2010 🗆 71	ATTORNEY, David M.J. Rein for The Goldman Sachs Group, Inc., in case 10-722, [69], ADDED.[21510] [10-722]
04/07/2010 🗆 72	DEFECTIVE DOCUMENT [58], on behalf of Appellee Bank of America Corporation, CURED.[21514] [10-722]
04/07/2010 <u>73</u> 1 pg, 38.43 KB	NOTICE OF APPEARANCE FORM, on behalf of, Charles Davidow, FILED. Service date 04/07/2010 by CM/ECF. [21659] [10-722][Edited 04/08/2010 by EM]
04/09/2010	NOTICE OF APPEARANCE FORM, on behalf of Appellee Bank of America Corporation, as additional counsel, FILED. Service date 04/09/2010 by CM/ECF. [22492] [10-722]
04/09/2010	NOTICE OF APPEARANCE FORM, on behalf of Appellee Bank of America Corporation, as additional counsel, FILED. Service date 04/09/2010 by CM/ECF. [22495] [10-722]
04/09/2010 🗆 76	ATTORNEY, Bradley Butwin for Bank of America Corporation, in case 10-722, [74], ADDED.[22733] [10-722]
04/12/2010 🗌 77	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant Mayor and City Council of Baltimore, Maryland, FILED. Service date 04/12/2010 by CM/ECF. [23405] [10-722]
04/13/2010	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant Mayor and City Council of Baltimore, Maryland, FILED. Service date 04/13/2010 by CM/ECF. [23652] [10-722]
04/13/2010	DEFECTIVE DOCUMENT [77], on behalf of Appellant Mayor and City Council of Baltimore, Maryland, FILED.[23769] [10-722]
04/13/2010 <u>80</u>	DEFECTIVE DOCUMENT [78], on behalf of Appellant Mayor and City Council of Baltimore, Maryland, FILED.[23815] [10-722]
04/14/2010 <u>81</u> 1 pg, 22.49 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant Mayor and City Council of Baltimore, Maryland, FILED. Service date 04/14/2010 by CM/ECF. [24236] [10-722]
04/14/2010 <u>82</u> 1 pg, 93.72 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant Mayor and City Council of Baltimore, Maryland, FILED. Service date 04/14/2010 by CM/ECF. [24284] [10-722]
04/14/2010 🗌 83	ATTORNEY, Seth D Ard for Mayor and City Council of Baltimore, Maryland, in case 10-722 , [81], ADDED. [24425] [10-722]
04/14/2010 🗆 84	DEFECTIVE DOCUMENT [79], [80], on behalf of Appellant Mayor and City Council of Baltimore, Maryland, CURED.[24426] [10-722]
04/15/2010 <u>85</u> 1 pg, 26.02 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee UBS AG, UBS Financial Services, Inc. and UBS Securities, LLC, FILED. Service date 04/15/2010 by CM/ECF. [25137] [10-722]
04/15/2010 <u>86</u> 1 pg, 463.31 KB	NOTICE OF APPEARANCE AS SUBSTITUTE COUNSEL, on behalf of Appellee The Goldman Sachs Group, Inc., FILED. Service date 04/15/2010 by CM/ECF. [25203] [10-722]
04/16/2010 🗌 87	ATTORNEY, Benjamin Sirota for UBS Financial Services, Inc. UBS Securities, LLC UBS AG, in case 10-722 , [85], ADDED.[25514] [10-722]
04/16/2010 🗌 88	ATTORNEY, William H. Wagener, [86], in place of attorney Julia Guaragna, SUBSTITUTED.[25518] [10-722]
04/26/2010 <u>91</u> 1 pg, 227.85 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee Morgan Stanley, FILED. Service date 04/26/2010 by CM/ECF. [28911] [10-722]
04/26/2010 🗌 92	ATTORNEY, Ronit Setton for Morgan Stanley, in case 10-722, [91], ADDED.[29073] [10-722]
05/04/2010 🗌 93	LETTER, dated , on behalf of Appellant Mayor and City Council of Baltimore, Maryland, RECEIVED. Service date 05/04/2010 by CM/ECF.[32525] [10-722]
05/04/2010 <u>94</u> 2 pg, 44.47 KB	DEFECTIVE DOCUMENT [93], on behalf of Appellant Mayor and City Council of Baltimore, Maryland, FILED.[32567] [10-722]
06/01/2010 <u>96</u> 1 pg, 37.89 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee Citigroup Global Markets, Inc. and Citigroup, Inc., FILED. Service date 06/01/2010 by CM/ECF. [45974] [10-722]
06/02/2010 🗌 97	ATTORNEY, Andrew Corydon Finch for Citigroup, Inc. Citigroup Global Markets, Inc., in case 10-722, [96], ADDED.[46074] [10-722]

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11		
06/16/2010	98 1 pg, 41.55 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee Citigroup Global Markets, Inc. and Citigroup, Inc., FILED. Service date 06/16/2010 by CM/ECF. [53997] [10-722]
06/17/2010	□ 99	ATTORNEY, Kenneth A. Gallo for Citigroup, Inc. Citigroup Global Markets, Inc., in case 10-722, [98], ADDED.[54144] [10-722]
07/13/2010	□ 100	BRIEF & SPECIAL APPENDIX, on behalf of Appellant Mayor and City Council of Baltimore, Maryland, FILED. Service date 07/13/2010 by CM/ECF. [68583] [10-722]
07/13/2010	□ 101	APPENDIX, on behalf of Appellant Mayor and City Council of Baltimore, Maryland, FILED. Service date 07/13/2010 by CM/ECF. [68584] [10-722]
07/13/2010	□ 102	APPENDIX, on behalf of Appellant Mayor and City Council of Baltimore, Maryland, FILED. Service date 07/13/2010 by CM/ECF. [68585] [10-722]
07/13/2010	□ 103	APPENDIX, on behalf of Appellant Mayor and City Council of Baltimore, Maryland, FILED. Service date 07/13/2010 by CM/ECF. [68586] [10-722]
07/15/2010	104 2 pg, 44.6 KB	DEFECTIVE DOCUMENT [100], [103], [101], [102], on behalf of Appellant Mayor and City Council of Baltimore, Maryland, FILED.[69536] [10-722]
07/15/2010	105 72 pg, 866.46 KB	BRIEF & SPECIAL APPENDIX, on behalf of Appellant Mayor and City Council of Baltimore, Maryland, FILED. Service date 07/15/2010 by CM/ECF. [69635] [10-722]
07/15/2010	106 536 pg, 16.81 MB	APPENDIX, on behalf of Appellant Mayor and City Council of Baltimore, Maryland, FILED. Service date 07/15/2010 by CM/ECF. [69641] [10-722]
07/15/2010	□ 107	DEFECTIVE DOCUMENT [104], on behalf of Appellant Mayor and City Council of Baltimore, Maryland, CURED.[70233] [10-722]
07/21/2010	112 1 pg, 33.23 KB	SCHEDULING NOTIFICATION, on behalf of Appellee UBS AG, UBS Financial Services, Inc. and UBS Securities, LLC, informing Court of proposed due date 11/09/2010, RECEIVED. Service date 07/21/2010 by CM/ECF.[73449] [10-722]
07/22/2010	□ 116	MOTION, to consolidate appeals, on behalf of Appellant Mayor and City Council of Baltimore, Maryland, FILED. Service date 07/22/2010 by CM/ECF. [74524] [10-722]
07/23/2010	117 2 pg, 44.41 KB	DEFECTIVE DOCUMENT [116], on behalf of Appellant Mayor and City Council of Baltimore, Maryland, FILED.[74564] [10-722]
07/23/2010	118 4 pg, 1.64 MB	MOTION, to consolidate appeals, on behalf of Appellant Mayor and City Council of Baltimore, Maryland, FILED. Service date 07/23/2010 by CM/ECF. [74584] [10-722]
07/23/2010	□ 120	DEFECTIVE DOCUMENT [117], MOTION, to consolidate appeals, on behalf of Appellant Mayor and City Council of Baltimore, Maryland, on behalf of Appellant Mayor and City Council of Baltimore, Maryland, CURED.[74698] [10-722]
07/27/2010	125 1 pg, 137.66 KB	MOTION ORDER, granting the motion to consolidate appeals 10-722 and 10-867, filed by Appellant Mayor and City Council of Baltimore, Maryland, by PWH, FILED. [77007][125] [10-722]
07/27/2010	□ 126	NEW PARTY, Appellant Russell Mayfield, ADDED.[77013] [10-722]
07/27/2010	□ 127	NEW PARTY, Appellant Paul Walton, ADDED.[77015] [10-722]
07/27/2010	□ 128	NEW PARTY, Appellant John Abbott, ADDED.[77021] [10-722]
07/27/2010	129 1 pg, 29.31 KB	AMENDED CAPTION PAGE, in light of the Court's order consolidating the appeals 10-722 and 10-867, SENT.[77023] [10-722, 10-867]
07/27/2010	131 1 pg, 28.71 KB	NEW CASE MANAGER, Erin Murphy, ASSIGNED.[77152] [10-867]
07/29/2010	134 2 pg, 64.35 KB	SO-ORDERED SCHEDULING NOTIFICATION, setting Appellee Bank of America Corporation, Citigroup Global Markets, Inc., Citigroup, Inc., Deutsche Bank, AG, JP Morgan Chase & Co., Lehman Brothers Holdings, Inc., Merrill Lynch & Company, Inc., Morgan Stanley, Royal Bank of Canada, The Goldman Sachs Group, Inc., UBS AG, UBS Financial Services, Inc., UBS Securities, LLC, Wachovia Capital Markets, LLC, Wachovia Corporation and Wachovia Securities, LLC: Brief due on 11/09/2010, FILED. [78464] [10-722]
07/29/2010	135 2 pg, 64.82 KB	SO-ORDERED SCHEDULING NOTIFICATION, setting Appellee Bank of America Corporation, Citigroup Global Markets Inc., Citigroup Inc., Deutsche Bank, AG, JP Morgan Chase & Co., Lehman Brothers Holdings, Inc., Merrill Lynch & Co, Inc., Morgan Stanley, Royal Bank of Canada, The Goldman Sachs Group, Inc., UBS Securities LLC, USB AG, USB Financial Services, Inc., Wachovia Capital Markets, LLC, Wachovia Corporation and Wachovia Securities, LLC: Brief due on 11/09/2010, FILED.[78470] [10-867]
08/10/2010	136 1 pg, 30.41 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee JP Morgan Chase & Co., FILED. Service date 08/10/2010 by CM/ECF. [85425] [10-722]
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08/10/2010	□ 137	ATTORNEY, Hillary C. Mintz for JP Morgan Chase & Co., in case 10-722, [136], ADDED.[85750] [10-722]
08/12/2010	138 5 pg, 113.65 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee UBS AG, UBS Financial Services, Inc. and UBS Securities, LLC, FILED. Service date 08/12/2010 by CM/ECF. [88011] [10-722]
08/13/2010	□ 139	ATTORNEY, William C. Weeks for Appellee USB Financial Services, Inc. UBS Securities LLC USB AG, in case 10-867, [88012-2], ADDED.[88219] [10-867]
08/13/2010	□ 140	ATTORNEY, William C. Weeks for UBS Financial Services, Inc. UBS Securities, LLC UBS AG, in case 10-722 , [138], ADDED.[88298] [10-722]
11/09/2010	141 71 pg, 197.93 KB	BRIEF, on behalf of Appellees JP Morgan Chase & Co., Citigroup, Inc., Citigroup Global Markets, Inc., UBS AG, UBS Securities LLC, UBS Financial Services, Inc., Merrill Lynch & Company, Inc., Morgan Stanley, Lehman Brothers Holdings Inc., Bank of America Corporation, Wachovia Corporation, Wachovia Securities, LLC, Wachovia Capital Markets, LLC, The Goldman Sachs Group, Inc., Royal Bank of Canada, Deutsche Bank, AG FILED. Service date 11/09/2010 by CM/ECF. [143247] [10-722][Edited 11/12/2010 by EM]
11/09/2010	142 2 pg, 8.83 KB	LETTER, dated 11/09/2010, on behalf of Appellee JP Morgan Chase & Co., RECEIVED. Service date 11/09/2010 by CM/ECF.[143284] [10-722]
11/11/2010	143 8 pg, 322.91 KB	MOTION, to extend time to, on behalf of Appellant John Abbott, Russell Mayfield and Paul Walton in 10-722, 10-867, FILED. Service date 11/11/2010 by CM/ECF. [144456] [10-722, 10-867]
11/12/2010	149 1 pg, 320.43 KB	MOTION ORDER, granting motion to extend time filed by Appellant Russell Mayfield, Paul Walton and John Abbott, [143], FILED. [145697][149] [10-722]
11/23/2010	150 2 pg, 51.6 KB	ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Jonathan K. Youngwood for Appellee JP Morgan Chase & Co., FILED. Service date 11/23/2010 by CM/ECF. [152879] [10-722]
11/23/2010	<u>151</u> 1 pg, 52.95 KB	ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Allan Steyer for Appellant Russell Mayfield, Paul Walton and John Abbott in 10-722, 10-867, FILED. Service date 11/23/2010 by CM/ECF. [152926] [10-722, 10-867]
11/23/2010	152 1 pg, 53.02 KB	AMENDED , on behalf of Appellant John Abbott, Russell Mayfield and Paul Walton, FILED. Service date 11/23/2010 by CM/ECF.[152933] [10-722]
11/29/2010	1 pg, 53.02 KB	ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Allan Steyer for Appellant Russell Mayfield, Paul Walton and John Abbott in 10-722, 10-867, FILED. Service date 11/29/2010 by CM/ECF. [154645] [10-722, 10-867]
12/17/2010	□ 155	CASE CALENDARING, for the week of 01/31/2011, PROPOSED.[169676] [10-722, 10-867]
12/23/2010	157 27 pg, 164.68 KB	REPLY BRIEF, on behalf of Appellant Mayor and City Council of Baltimore, Maryland, FILED. Service date 12/23/2010 by CM/ECF. [174190] [10-722]
01/05/2011	□ 160	CASE CALENDARING, for the week of 02/07/2011, PROPOSED.[179503] [10-722, 10-867]
01/06/2011	□ 161	REVISED CASE CALENDARING, for the week of 02/14/2011, PROPOSED.[180839] [10-722, 10-867][Edited 01/06/2011 by CA]
01/07/2011	□ 164	**REVISED**CASE CALENDARING, for the week of 02/22/2011, PROPOSED.[182130] [10-722]
01/19/2011	1 pg, 52.69 KB	ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Steig David Olson for Appellant Mayor and City Council of Baltimore, Maryland, Russell Mayfield, Paul Walton and John Abbott, FILED. Service date 01/19/2011 by CM/ECF. [189750] [10-722]
01/19/2011	1 pg, 52.85 KB	ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Allan Steyer for Appellant Russell Mayfield, Paul Walton and John Abbott in 10-867, FILED. Service date 01/19/2011 by CM/ECF. [189761] [10-722, 10-867]
01/28/2011	173 1 pg, 362.65 KB	LETTER, dated 01/28/2011, on behalf of Appellant Mayor and City Council of Baltimore, Maryland, RECEIVED. Service date 01/28/2011 by CM/ECF.[196082] [10-722]
02/18/2011	176 2 pg, 8.29 KB	LETTER, dated 02/18/2011, on behalf of Appellee JP Morgan Chase & Co., RECEIVED. Service date 02/18/2011 by CM/ECF.[214644] [10-722]
02/28/2011	□ 179	CASE CALENDARING, for the week of 04/11/2011, B , PROPOSED.[220344] [10-722, 10-867]
03/08/2011	□ 180	CASE CALENDARING, for argument on 04/13/2011, B Panel, SET.[228637] [10-722, 10-867]
03/10/2011	181 2 pg, 37.51 KB	ARGUMENT NOTICE, to attorneys/parties, TRANSMITTED.[230932] [10-722, 10-867]
03/16/2011	182 1 pg, 71.04 KB	NOTICE OF HEARING DATE ACKNOWLEDMENT, on behalf of Appellee JP Morgan Chase & Co., FILED. Service date 03/16/2011 by CM/ECF. [236542] [10-722]
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	183 1 pg, 50.33 KB	NOTICE OF HEARING DATE ACKNOWLEDMENT, on behalf of Appellant John Abbott, Russell Mayfield and Paul Walton, FILED. Service date 03/23/2011 by CM/ECF. [242733] [10-722]
04/13/2011	□ 184	CASE, before PNL, RAK, PWH, C.JJ., HEARD.[261918] [10-722, 10-867]
04/19/2011	185 1 pg, 30.23 KB	LETTER, dated 04/15/2011, on behalf of Suneel Jain w/Steyer Lowenthal Boodrookas, etc. requesting CD of an oral argument. Fee pd. Forward to calendar. 212785, RECEIVED. Service date 04/19/2011 by US mail.[267635] [10-722]
04/21/2011	186 2 pg, 62.52 KB	REQUEST FOR ARGUMENT CD, with fee, RECEIVED.[269474] [10-722, 10-867]
04/21/2011	187 2 pg, 57.97 KB	REQUEST FOR ARGUMENT CD, with fee, RECEIVED.[269475] [10-722, 10-867]
04/21/2011	□ 188	ARGUMENT CD, TRANSMITTED.[269477] [10-722, 10-867]
04/21/2011	□ 189	ARGUMENT CD, TRANSMITTED.[269481] [10-722, 10-867]
01/08/2013	190 1 pg, 36.25 KB	LETTER, on behalf of Appellee UBS AG, UBS Financial Services, Inc. and UBS Securities, LLC, RECEIVED. Service date 01/08/2013 by CM/ECF.[810022] [10-722]
01/08/2013	□ 191	ATTORNEY, Benjamin Sirota and William C. Weeks, for Appellees UBS AG, UBS Financial Services, Inc. and UBS Securities, LLC, TERMINATED.[810470] [10-722, 10-867]
03/05/2013	1 pg, 37.78 KB	NEW CASE MANAGER, Yana Segal, ASSIGNED.[864344] [10-722, 10-867]
03/05/2013	195 22 pg, 126.38 KB	OPINION, affirming judgment of the district court, by PNL., RAK., PWH., FILED.[864377] [10-722, 10-867]
03/05/2013	□ 196	CAPTION, in light of opinion filed, AMENDED.[864498] [10-722, 10-867]
03/05/2013	199 2 pg, 160.49 KB	JUDGMENT, FILED.[883739] [10-722, 10-867]
03/26/2013	200 2 pg, 414.02 KB	JUDGMENT MANDATE, ISSUED.[888140] [10-722, 10-867]

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