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UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF CALIFORNIA

MAR 1 3 2012

RICHARD W. WIEKING
CLERK, U.S. DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

SAN FRANCISCO	DIVISION NORTHERN DISTRICT OF			
UNITED STATES OF AMERICA	No. CR-09-0110 SI			
v.	SPECIAL VERDICT FORM			
AU OPTRONICS CORPORATION; AU OPTRONICS CORPORATION AMERICA;				
HSUAN BIN CHEN, aka H.B. CHEN; HUI HSIUNG, aka KUMA;				
LAI-JUH CHEN, aka L.J. CHEN; SHIU LUNG LEUNG, aka CHAO-LUNG				
LIANG and STEVEN LEUNG; TSANNRONG LEE, aka TSAN-JUNG LEE and				
HUBERT LEE,				
Defendants.				
WE, THE JURY, in the above-entitled case, unanim	ously find the answer to the following			
questions:				
PART A	<u>1</u>			
AU OPTRONICS CORPORATION				
Do you find that defendant, AU OPT	RONICS CORPORATION, violated the			
Sherman Act as charged?				
Yes, guilty	No, not guilty			
AU OPTRONICS CORPO	RATION AMERICA			
2. Do you find that defendant, AU OPT	RONICS CORPORATION AMERICA,			
violated the Sherman Act as charged?				
Yes, guilty	No, not guilty			
(continued)				
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	UNITED STATES OF AMERICA v. AU OPTRONICS CORPORATION; AU OPTRONICS CORPORATION AMERICA; HSUAN BIN CHEN, aka H.B. CHEN; HUI HSIUNG, aka KUMA; LAI-JUH CHEN, aka L.J. CHEN; SHIU LUNG LEUNG, aka CHAO-LUNG LIANG and STEVEN LEUNG; TSANNRONG LEE, aka TSAN-JUNG LEE and HUBERT LEE, Defendants. WE, THE JURY, in the above-entitled case, unanim questions: PART A AU OPTRONICS CO 1. Do you find that defendant, AU OPT Sherman Act as charged? Yes, guilty AU OPTRONICS CORPORATION; Yes, guilty Ves, guilty			

1	HSUAN BIN "H.B." CHEN
2	3. Do you find that the defendant, HSUAN BIN CHEN, also known as H.B. CHEN,
3	violated the Sherman Act as charged?
4	Yes, guiltyNo, not guilty
5	HUI HSIUNG ("KUMA")
6	4. Do you find that the defendant HUI HSIUNG, also known as KUMA, violated the
7	Sherman Act as charged?
8	Yes, guiltyNo, not guilty
9	LAI-JUH "L.J." CHEN
10	5. Do you find that the defendant LAI-JUH CHEN, also known as L.J. CHEN,
11	violated the Sherman Act as charged?
12	Yes, guilty No, not guilty
13	SHIU LUNG "STEVEN" LEUNG
14	6. Do you find that the defendant, SHIU LUNG LEUNG, also known as CHAO-
15	LUNG LIANG and STEVEN LEUNG, violated the Sherman Act as charged?
16	Yes, guiltyNo, not guilty
17	TSANNRONG "HUBERT" LEE
18	7. Do you find that the defendant, TSANNRONG LEE, also known as TSAN-JUNG
19	LEE and HUBERT LEE, violated the Sherman Act as charged?
20	Yes, guilty No, not guilty
21	
22	If the answer to either question 1 or question 2, above as to AUO or AUOA, is "Yes,
23	guilty," proceed to Part B below. If the answer to both question 1 and question 2, above, is "No.
24	not guilty," proceed directly to the conclusion and skip Part B.
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PART B 1 **GROSS GAIN FROM THE OFFENSE** 2 Did the participants in the conspiracy derive gains from the conspiracy? 8. 3 Yes No 4 If the answer to question 8 is "Yes," proceed to question 9. If the answer to question 8 is 5 6 "No," proceed directly to the conclusion and skip questions 9 and 10. 7 9. Was the amount of combined gross gains derived from the conspiracy by all the participants in the conspiracy, including AU Optronics Corporation ("AUO"), AU Optronics 8 Corporation America ("AUOA"), LG Philips LCD Co., Ltd. ("LG"), Samsung Electronics Co., 9 10 Ltd. ("Samsung"), Chunghwa Picture Tubes, Ltd. ("CPT"), Chi Mei Optoelectronics Corporation ("CMO"), and HannStar Display Corporation ("HannStar"), \$500 million or more? 11 Yes 12 13 If the answer to question 9 is "Yes," proceed directly to the conclusion and skip question 10. If the answer to question 9 is "No," answer question 10. 14 15 10 What was the amount of combined gross gains derived from the conspiracy by all the participants in the conspiracy, including AUO, AUOA, LG, Samsung, CPT, CMO, and 16 17 HannStar: (fill in the amount) \$ 18 **CONCLUSION** 19 Once you have finished answering the questions unanimously, please have the foreperson 20 sign and date this form. Then contact the deputy or marshal to inform him or her that you have 21 completed your deliberations. 22 23 Dated: 24 Foreperson 25

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